Notice of Privacy Practices

Effective date: March 11, 2021

As required by the privacy regulations created as a result of the Health Insurance Portability and Accountability Act of 1996 (HIPAA), this notice describes how health information about you, as a client of Mosaic Psychological Center, PLLC, may be used and disclosed and how you can get access to your individually identifiable health information. Please review this notice carefully.

A. Our commitment to your privacy:

Mosaic Psychological Center is dedicated to maintaining the privacy of your individually identifiable health information (also called *protected* health information, or PHI). In conducting business, we will create records regarding you and the treatment and services we provide to you. We are required by law to maintain the confidentiality of health information that identifies you. We also are required by law to provide you with this notice of our legal duties and the privacy practices that are maintained at Mosaic Psychological Center concerning your PHI. By federal and state law, we must follow the terms of the Notice of Privacy Practices that are in effect at the time.

Mosaic Psychological Center realizes that these laws are complicated, but we must provide you with the following important information:

- How we may use and disclose your PHI
- Your privacy rights in your PHI
- Our obligations concerning the use and disclosure of your PHI

The terms of this notice apply to all records containing your PHI that are created or retained by Mosaic Psychological Center. We reserve the right to revise or amend this Notice of Privacy Practices. Any revision or amendment to this notice will be effective for all of your records that the practice has created or maintained in the past, and for any of your records that we may create or maintain in the future. The practice will post a copy of our current Notice in the offices in a visible location at all times, and you may request a copy of the most current Notice at any time.

B. If you have questions about this notice, please contact: Robin Navarro, Psy.D. at 704-774-0459.

C. We may use and disclose your PHI in the following ways:

The following categories describe the different ways in which we may use and disclose your PHI.

1. Treatment. Mosaic Psychological Center may use your PHI to treat you. For example, we may ask you to have laboratory tests (such as blood or urine tests) and may use the results to help us reach a diagnosis. Additionally, we may disclose your PHI to others who may assist in your care, such as your spouse, children or parents, but only with your written permission. Finally, with your written consent, we may also disclose your PHI to other health care providers for purposes related to your treatment.

2. Payment. Mosaic Psychological Center may use and disclose your PHI in order to bill and collect payment for the services and items you may receive. For example, we or our billing specialist may contact your health insurer to certify that you are eligible for benefits (and for what range of benefits), and we may provide your insurer with details regarding your treatment to determine if your insurer will cover or pay for your treatment. We may use your PHI to bill you directly for services and items. We also reserve the right to disclose your PHI to other entities, such as collection agencies, to order to collect payment from any delinquent account.

3. Health care operations. Mosaic Psychological Center may use and disclose your PHI to operate the business. As examples of the ways in which we may use and disclose your information for operations, the practice may use your PHI to evaluate the quality of care you received from us, or to conduct cost-management and business planning activities for the practice.

4. Appointment reminders. With your permission, Mosaic Psychological Center uses an automated system that can provide you with appointment reminders via email and/or text.
5. Release of information to family/friends. Mosaic Psychological Center may release your PHI to a friend or family member that is involved in your care, or who assists in taking care of you. For example, a parent or guardian may ask that a babysitter take their child to an appointment. In this example, the babysitter may have access to this child's medical information

with your written consent. 6. Disclosures required by law. Mosaic Psychological Center will use and disclose your PHI when we are required to do so by federal, state, or local law.

D. Use and disclosure of your PHI in certain special circumstances:

The following categories describe unique scenarios in which Mosaic Psychological Center may use or disclose your identifiable health information:

1. Public health risks. Mosaic Psychological Center may disclose your PHI to public health authorities that are authorized by law to collect information for the purpose of:

- Maintaining vital records, such as births and deaths
- Reporting suspected child abuse or neglect
- Preventing or controlling disease, injury, or disability
- Notifying a person regarding potential exposure to a communicable disease
- Notifying a person regarding a potential risk for spreading or contracting a disease or condition
- Reporting reactions to drugs
- Notifying appropriate government agency(ies) and authority(ies) regarding the potential abuse or neglect of an elder or disabled adult
- Notifying your employer under limited circumstances related primarily to workplace injury or illness or medical surveillance

2. Health oversight activities. Mosaic Psychological Center may disclose your PHI to a health oversight agency for activities authorized by law. Oversight activities can include, for example, investigations, inspections, audits, surveys, licensure, and disciplinary actions; civil, administrative, and criminal procedures or actions; or other activities necessary for the government to monitor government programs, compliance with civil rights laws and the health care system in general.

3. Lawsuits and similar proceedings. Mosaic Psychological Center may use and disclose your PHI in response to a court or administrative order if you are involved in a lawsuit or similar

proceeding. We also may disclose your PHI in response to a discovery request, subpoena or other lawful process by another party involved in the dispute, but only if we have your written consent. Should you advise that you do not want your PHI released, we will first request the court protect that information. Should that effort fail and a court order is released, this agency would have to oblige by releasing your PHI, but only after first making reasonable attempts to inform you.

4. Serious threats to health or safety. Mosaic Psychological Center may use and disclose your PHI when necessary to reduce or prevent a serious threat to your health and safety or the health and safety of another individual or the public. Under these circumstances, we will only make disclosures to a person or organization able to help prevent the threat.

5. National security. Mosaic Psychological Center may disclose your PHI to federal officials for intelligence and national security activities authorized by law. We also may disclose your PHI to federal officials in order to protect the president, other officials, or foreign heads of state or to conduct investigations. A court order would first be required.

6. Inmates. Mosaic Psychological Center may disclose your PHI to correctional institutions or law enforcement officials if you are an inmate or under the custody of a law enforcement official. Disclosure for these purposes would be necessary: (a) for our practice to provide health care services to you, (b) for the safety and security of the practice and any institution and/or (c) to protect your health and safety or the health and safety of other individuals.

7. Workers' compensation. Mosaic Psychological Center may release your PHI for workers' compensation and similar programs. We would still obtain your written consent.

E. Your rights regarding your PHI:

You have the following rights regarding the PHI that our business maintains about you:

1. Confidential communications. You have the right to request that Mosaic Psychological Center communicate with you about your health and related issues in a particular manner or at a certain location. For instance, you may ask that we contact you at home rather than at work. In order to request a type of confidential communication, you must make a written request to Mosaic Psychological Center specifying the requested method of contact or the location where you wish to be contacted. We will accommodate **reasonable** requests. You do not need to give a reason for your request.

2. Requesting restrictions. You have the right to request a restriction in our use or disclosure of your PHI for treatment, payment, or health care operations. Additionally, you have the right to request that we restrict the disclosure of your PHI to only certain individuals involved in your care or the payment for your care, such as family members and friends. We are not required to agree to your request; however, if we do agree, we are bound by the agreement except when otherwise required by law, in emergencies, or when the information is necessary to treat you. In order to request a restriction in our use or disclosure of your PHI, you must make your request in writing to Mosaic Psychological Center, PLLC. Your request must describe in a clear and concise fashion:

- The information you wish restricted
- Whether you are requesting to limit the practice's use, disclosure, or both
- To whom you want the limits to apply

3. Inspection and copies. You have the right to inspect and obtain a copy of the PHI that may be used to make decisions about you, including patient medical records and billing records. You must submit your request in writing to Mosaic Psychological Center, PLLC in order to inspect

and/or obtain a copy of your PHI. We reserve the right to charge a fee for the costs of copying, mailing, labor, and supplies associated with your request. The practice may deny your request to inspect and/or copy in certain limited circumstances; however, you may request a review of the denial. Another licensed health care professional chosen by our business will conduct reviews. **4. Amendment.** You may ask to amend your health information if you believe it is incorrect or incomplete, and you may request an amendment for as long as the information is kept by or for the practice. To request an amendment, ensure it is in writing and submitted to Mosaic

Psychological Center, PLLC. You must provide a reason that supports your request for amendment. The practice will deny your request if you fail to submit it (and the reason supporting your request) in writing. Also, we may deny your request if you ask us to amend information that is in our opinion: (a) accurate and complete; (b) not part of the PHI kept by or for the practice; (c) not part of the PHI which you would be permitted to inspect and copy; or (d) not created by the practice, unless the individual or entity that created the information is not available to amend the information.

5. Accounting of disclosures. All clients have the right to request an "accounting of disclosures." An "accounting of disclosures" is a list of certain non-routine disclosures Mosaic Psychological Center has made of your PHI for purposes not related to treatment, payment, or operations. Use of your PHI as part of the routine patient care in this practice is not required to be documented – for example, a clinician sharing information with her supervisor; or the billing department using your information to file your insurance claim. In order to obtain an accounting of disclosures, you must submit your request in writing to Mosaic Psychological Center, PLLC. All requests for an "accounting of disclosures" must state a time period which may not be longer than six (6) years from the date of disclosure. The first list you request within a 12-month period is free of charge, but the practice may charge you for additional lists within the same 12-month period. Mosaic Psychological Center will notify you of the costs involved with additional requests, and you may withdraw your request before you incur any costs.

6. Right to a paper copy of this notice. You are entitled to receive a paper copy of this notice of privacy practices. You may ask us to give you a copy of this notice at any time. To obtain a paper copy of this notice, contact Robin Navarro, Psy.D. at 704-774-0459.

7. Right to file a complaint. If you believe your privacy rights have been violated, you may file a complaint with Mosaic Psychological Center, the Secretary of the Department of Health and Human Services, or the North Carolina Psychology Board. You will not be penalized for filing a complaint.

8. Right to provide an authorization for other uses and disclosures. Mosaic Psychological Center will obtain your written authorization for uses and disclosures that are not identified by this notice or permitted by applicable law. Any authorization you provide regarding the use and disclosure of your PHI may be revoked at any time *in writing*. After you revoke your authorization, we will no longer use or disclose your PHI for the reasons described in the authorization. *Please note* that we are required to retain records of your care.

Again, if you have any questions regarding this notice or our health information privacy policies, please contact Mosaic Psychological Center, PLLC.